

GOVERNMENT SERVICES & ADMINISTRATION
POSTPONED TO APRIL
AMENDED ON THE FLOOR 4/14/2025

RESOLUTION NO. 2025022

RE: PERSONS REQUIRED TO FILE AN ANNUAL STATEMENT
OF FINANCIAL DISCLOSURE PURSUANT TO THE
DUTCHESS COUNTY CODE OF ETHICS

Legislators MC HOUL, TRUITT, GORMAN, D'AQUANNI, CASWELL, and
LAWLER offer the following and move its adoption:

WHEREAS, by Resolution No. 2019056, the Dutchess County Legislature enacted Local Law No. 2 of 2019, which repealed the former Code of Ethics found in Local Law No. 5 of 2004, Local Law No. 2 of 2001, Local Law No. 7 of 2000, and established a new Code of Ethics and required a financial disclosure for certain officers and employees of the County of Dutchess, and

WHEREAS, pursuant to the aforementioned Local Law, the new Code of Ethics became effective on January 1, 2020, and

WHEREAS, Local Law No. 2 of 2019 requires the County to pass a resolution with a list of persons required to file an Annual Statement of Financial Disclosure, now therefore be it

RESOLVED, prior Resolution Nos. 2020039, 2020184, 2022026, 2023029 and 2024040 which provided lists of persons required to file an annual statement of financial disclosure are hereby repealed and replaced, and the following persons are hereby required to file an Annual Statement of Financial Disclosure, in accordance with all requirements set forth in the County's Code of Ethics:

AGING, OFFICE FOR
Director, Office for the Aging
Assistant Director, Office for the Aging
BOARD OF ELECTIONS
Elections Commissioners
Deputy Elections Commissioners
Elections Administrators
BOARD OF ETHICS
Board Members
BUDGET OFFICE
Budget Director
Senior Budget Analyst
Budget Analyst

CENTRAL AND INFORMATION SERVICES
Commissioner of Central and Information Services
Deputy Commissioners of Central and Information Services
Director of Central Services
Purchasing Agent
Assistant Purchasing Agent
Network Administrators
Senior Network Administrator
Cyber Security Administrator
Infrastructure Manager
Infrastructure Administrator
COMMUNITY AND FAMILY SERVICES
Commissioner of Community and Family Services
Deputy Commissioners of Community and Family Services
Director of Administrative Services
Director of Fiscal Services
Directors of Services
Asst, to the Commissioner for Program Planning & Evaluation
Special Assistant to Commissioner
Assistant Commissioner for Youth Services
Staff Development Director
Homeless Shelter Director
COMPTROLLER
Comptroller
Deputy Comptroller
Director of Audit and Control
COUNTY ATTORNEY
County Attorney
Chief Assistant County Attorney
Bureau Chief, CFS
COUNTY CLERK
County Clerk
Deputy County Clerks
Historian

COUNTY EXECUTIVE
County Executive
Deputy County Executive
Assistant County Executive
Communications Director
Assistant County Executive Economic Development
Assistant Communications Director
Chief of Staff
COUNTY LEGISLATURE
County Legislators
Clerk of the County Legislature
Deputy Clerk of the County Legislature
Assistant to the Chairman of the County Legislature
Legislative Attorney
DISTRICT ATTORNEY
District Attorney
Chief Assistant District Attorney
Bureau Chiefs
DUTCHESS COMMUNITY COLLEGE
President, Dutchess Community College
Vice President of Academic Affairs
Dean of Student Services and Enrollment Management
Dean of Community Services and Special Programs
Vice President and Dean of Administration
Board of Trustees
EMERGENCY RESPONSE, DEPARTMENT OF
Commissioner Emergency Response
Deputy Commissioner Emergency Response
Director of 911 Operations
Director of Emergency Management
FINANCE
Commissioner of Finance
First Deputy Commissioner of Finance
Director of Fiscal Services
Deputy Commissioner of Finance/Director, Real Property Tax Services
Senior Research Analyst
Bureau Chief

HEALTH, DEPARTMENT OF
Commissioner of Health
Assistant Commissioner for Health Administration
Director of Fiscal Services
Director of Public Health Nursing
Director of Environmental Health Services
Chief Medical Examiner Forensic Pathology
Deputy Medical Examiner
Director of Weights and Measures B
Director of Public Health and Disease Prevention
Director of Performance Management and Data Analytics
HUMAN RESOURCES
Commissioner of Human Resources
Deputy Commissioner of Human Resources
Equal Employment Opportunity & Inclusion Officer
Director of Risk Management
Assistant Director of Risk Management
INDUSTRIAL DEVELOPMENT AGENCY
Executive Director/Chief Executive Officer
Members of the Industrial Development Agency
LOCAL DEVELOPMENT CORPORATION
Chief Executive Officer
Members of the Local Development Corporation
MENTAL HEALTH, DEPARTMENT OF
Commissioner of Mental Health
Executive Director-Human Rights Commission
Clinical Program Directors
Supervising Psychiatric Medical Director
All Abilities Program Director
Director of Budget and Finance
PLANNING DEPARTMENT
Commissioner of Planning
Deputy Commissioner of Planning and Development
Deputy Commissioner of Solid Waste Management
Deputy Commissioner for Housing
Transportation Program Administrator
Environmental Program Director
Community Investment Program Specialist

PROBATION DEPARTMENT
Director of Probation and Community Corrections
Deputy Directors of Probation and Community Corrections
Director of Budget and Finance
PUBLIC DEFENDER
Public Defender
Chief Assistant Public Defender
Bureau Chiefs
PUBLIC WORKS DEPARTMENT
Commissioner of Public Works
Deputy Commissioner of Public Works
Director of Highway Construction and Maintenance
Assistant Director of Highway Construction and Maintenance
Garage Superintendent
Airport Director
Parks Director
Buildings Administrator
Buildings Design Administrator
Director of Fiscal Services
Fleet Administrator
Director of Engineering
Director of Public Transit
Assistant Director of Public Transit
Assistant Parks Director
RESOURCE RECOVERY AGENCY
Executive Director
Officers
SHERIFF
Sheriff
Undersheriff
Chief Deputy
Deputy Sheriff Colonel
Director of Budget and Finance
Superintendent of Corrections
Deputy Superintendent of Corrections
Deputy Sheriff - Captain

WATER AND WASTEWATER AUTHORITY
Executive Director
Board Members
VETERANS AFFAIRS, OFFICE OF
Director of Veterans Affairs
Deputy Director of Veterans Affairs

CA-013-25
CRC/rjw
G-0175-A
01/14/2025
Fiscal Impact: See Attached

APPROVED

SUSAN J. SERINO
COUNTY EXECUTIVE
Date 4/21/2025

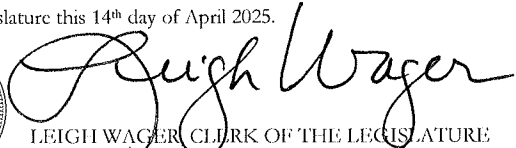
STATE OF NEW YORK
COUNTY OF DUTCHESS

ss:

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 14th day of April 2025, and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 14th day of April 2025.




LEIGH WAGER, CLERK OF THE LEGISLATURE

A G, OFFICE FOR

Director, Office for the Aging

Assistant Director, Office for the Aging

BEHAVIORAL AND COMMUNITY HEALTH

Commissioner of Behavioral and Community Health

Deputy Commissioner of Behavioral and Community Health for Mental Hygiene

Assistant Commissioner of DBCH for Administration

Director of Public Health Nursing

Director of Environmental Health Services

Chief Medical Examiner Forensic Pathology

Deputy Medical Examiner

Executive Director Human Rights Commission

Director of Fiscal Services

Clinical Program Directors

Supervising Psychiatric Medical Director

Director of Weights and Measures-B

Director of Veterans Affairs

Director of Public Health and Disease Prevention

All Abilities Program Director

Director of Performance Management and Data Analytics

Department Removed from Charter

Moved to Health, Department of

Moved to Mental Health, Department of

Moved to Health, Department of

Moved to Health, Department of

Moved to Health, Department of

Moved to Health, Department of

Moved to Health, Department of

Moved to Mental Health, Department of

Moved to Health, Department of

Moved to Mental Health, Department of

Moved to Mental Health, Department of

Moved to Health, Department of

Moved to Veterans Affairs, Office of

Moved to Health, Department of

Moved to Mental Health, Department of

Moved to Health, Department of

BOARD OF ELECTIONS

Elections Commissioners

Deputy Elections Commissioners

Elections Administrators

BOARD OF ETHICS

Board Members

BUDGET OFFICE

Budget Director

Senior Research Analyst

Senior Budget Analyst

Title Change

CENTRAL AND INFORMATION SERVICES

Commissioner of Central and Information Services
Deputy Commissioners of Central and Information Services
Director of Central Services
Purchasing Agent
Assistant Purchasing Agent
Network Administrators
Senior Network Administrator
Cyber Security Administrator
Infrastructure Manager
Infrastructure Administrator

COMMUNITY AND FAMILY SERVICES

Commissioner of Community and Family Services
Deputy Commissioners of Community and Family Services
Director of Administrative Services
Director of Fiscal Services
Directors of Services
Asst, to the Commissioner for Program Planning & Evaluation
Special Assistant to Commissioner
Assistant Commissioner for Youth Services
Staff Development Director
Homeless Shelter Director

COMPTROLLER

Comptroller
Deputy Comptroller
Director of Audit and Control

COUNTY ATTORNEY

County Attorney

Assistant County Attorney
Bureau Chief, CFS

COUNTY CLERK

County Clerk
Deputy County Clerks
Historian

COUNTY EXECUTIVE

County Executive
Deputy County Executive
Assistant County Executive
Communications Director
Assistant County Executive Economic Development

Director of Public Policy

No Longer Active

Assistant Communications Director

Chief of Staff

New Position

COUNTY LEGISLATURE

County Legislators
Clerk of the County Legislature
Deputy Clerk of the County Legislature
Assistant to the Chairman of the County Legislature
Legislative Attorney

DISTRICT ATTORNEY

District Attorney
Chief Assistant District Attorney
Bureau Chiefs

DUTCHESS COMMUNITY COLLEGE

President, Dutchess Community College
Vice President of Academic Affairs
Dean of Student Services and Enrollment Management

Office of Community Services and Special Programs
Vice President and Dean of Administration
Board of Trustees

Added

EMERGENCY RESPONSE, DEPARTMENT OF

Commissioner Emergency Response
Deputy Commissioner Emergency Response
Director of 911 Operations
Director of Emergency Management

FINANCE

Commissioner of Finance
First Deputy Commissioner of Finance
Director of Fiscal Services
Deputy Commissioner of Finance/Director, Real Property Tax Services
Senior Research Analyst
Bureau Chief

HEALTH, DEPARTMENT OF

Commissioner of Health
Assistant Commissioner for Health Administration
Director of Fiscal Services
Director of Public Health Nursing
Director of Environmental Health Services
Chief Medical Examiner Forensic Pathology
Deputy Medical Examiner
Director of Weights and Measures B
Director of Public Health and Disease Prevention
Director of Performance Management and Data Analytics

New Department in '24

Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health

HUMAN RESOURCES

Commissioner of Human Resources
Deputy Commissioner of Human Resources
Equal Employment Opportunity & Inclusion Officer

Director of Risk Management
Assistant Director of Risk Management

INDUSTRIAL DEVELOPMENT AGENCY

Executive Director/Chief Executive Officer
Members of the Industrial Development Agency

LOCAL DEVELOPMENT CORPORATION

Chief Executive Officer
Members of the Local Development Corporation

MENTAL HEALTH, DEPARTMENT OF

Commissioner of Mental Health
Executive Director-Human Rights Commission
Clinical Program Directors
Supervising Psychiatric Medical Director
All Abilities Program Director
Director of Budget and Finance

New Department in '24
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
Moved from Behavioral and Community Health
New Position

PLANNING DEPARTMENT

Commissioner of Planning
Deputy Commissioner of Planning and Development
Deputy Commissioner of Solid Waste Management
Deputy Commissioner for Housing
Transportation Program Administrator
Environmental Program Director
Community Investment Program Specialist

PROBATION DEPARTMENT

Director of Probation and Community Corrections
Deputy Directors of Probation and Community Corrections
Director of Budget and Finance

PUBLIC DEFENDER

Public Defender

Chief Assistant Public Defender

Bureau Chiefs

PUBLIC WORKS DEPARTMENT

Commissioner of Public Works

Deputy Commissioner of Public Works

Director of Highway Construction and Maintenance

Assistant Director of Highway Construction and Maintenance

Garage Superintendent

Airport Director

Parks Director

Buildings Administrator

Buildings Design Administrator

Director of Fiscal Services

Fleet Administrator

Director of Engineering

Director of Public Transit

Assistant Director of Public Transit

Assistant Parks Director

RESOURCE RECOVERY AGENCY

Executive Director

SHERIFF

Sheriff

Undersheriff

Chief Deputy

Deputy Sheriff Colonel

Director of Budget and Finance

Superintendent of Corrections

Deputy Superintendent of Corrections

Deputy Sheriff - Captain

WATER AND WASTEWATER AUTHORITY

Executive Director

VETERANS AFFAIRS, OFFICE OF

Director of Veterans Affairs

Deputy Director of Veterans Affairs

New Department in '24

Moved from Behavioral and Community Health

New Position now that standalone Dept



COUNTY OF DUTCHESS
STATE OF NEW YORK

WILLIAM G. TRUITT
CHAIRMAN OF THE LEGISLATURE

MEMORANDUM

TO: Members of the Legislature
FROM: William G. Truitt, Chairman
RE: Proposed Financial Disclosure Framework for Legislative Boards
DATE: April 11, 2025

Financial Disclosure Guidelines: Legislative Boards

- I. Boards in which the legislature is an appointing authority
 - A. Members of boards that wield significant fiduciary and/or policy making responsibilities that exceed merely advising the legislature on specific subject matters or areas of interest should be included in the list of boards that are required to file an annual financial disclosure statement.
 - B. Members of boards that are established for the purpose of advising the legislature on specific subject matters but have no policy making authority should not be required to file an annual financial disclosure statement.

Majority Leader Houston, duly seconded by Assistant Majority Leader Polasek, moved the foregoing resolution. Discussion on Resolution No. 2025022, PERSONS REQUIRED TO FILE AN ANNUAL STATEMENT OF FINANCIAL DISCLOSURE PURSUANT TO THE DUTCHESS COUNTY CODE OF ETHICS proceeded as follows:

Majority Leader Houston, duly seconded by Legislator Kaul, made a motion to postpone the resolution to the April 14, 2025, Board meeting.

Roll call vote to postpone resulted as follows:

AYES: 24 Atkins, Brendli, Caswell, D'Aquanni, Drago, Faust, Gorman, Houston, House, Johnson, Kaul, Kearney, Lawler, McHoul, Metzger, Munn, Page, Paoloni, Polasek, Rolison, Travelpiece, Truitt, Valdés Smith, and Versaci

NAYS: 0

ABSENT: 1 Garito

Motion adopted.

At the April 14, 2025, Board Meeting, the foregoing resolution was moved by Majority Leader Houston, duly seconded by Assistant Majority Leader Polasek. Discussion on the foregoing resolution, proceeded as follows:

Legislator Munn made a motion, duly seconded by Majority Leader Houston, to amend the resolution by adding the Resource Recovery Agency Members and the Water and Wastewater Authority Members.

Roll call on the foregoing motion resulted as follows:

AYES: 21 Atkins, Brendli, Caswell, D'Aquanni, Drago, Faust, Garito, Gorman, Houston, Johnson, Kaul, Kearney, Lawler, Metzger, Munn, Paoloni, Polasek, Rolison, Travelpiece, Truitt, and Valdés Smith

NAYS: 0

ABSENT: 4 House, McHoul, Page, and Versaci

Motion adopted.

Roll call vote on the foregoing resolution resulted as follows:

AYES: 21 Atkins, Brendli, Caswell, D'Aquanni, Drago, Faust, Garito, Gorman, Houston, Johnson, Kaul, Kearney, Lawler, Metzger, Munn, Paoloni, Polasek, Rolison, Travelpiece, Truitt, and Valdés Smith

NAYS: 0

ABSENT: 4 House, McHoul, Page, and Versaci

Resolution adopted.

Government Services and Administration Roll Call

<i>District</i>	<i>Name</i>	<i>Yes</i>	<i>No</i>
District 7 - Hyde Park and Pleasant Valley	Truitt*	✓	
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Houston*		
District 3 - LaGrange	Polasek*		
District 16 - Fishkill and Beacon	Valdés Smith*		
District 9 - City of Poughkeepsie	Atkins*		
District 6 - Town of Poughkeepsie	Kaul		
District 14 - Wappinger and Town of Poughkeepsie	Versaci		
District 17 - Town and Village of Fishkill	McHoul (C)		
District 18 - City of Beacon and Fishkill	Page	absent	
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn	absent	
District 21 - East Fishkill	Caswell		
District 24 - Dover and Pawling	House (VC)		

Present: 10
 Absent: 2
 Vacant: 0

Resolution: ✓
 Motion:

Total : 10 0
 Yes No
 Abstentions: 0

2025022 PERSONS REQUIRED TO FILE AN ANNUAL STATEMENT OF FINANCIAL DISCLOSURE PURSUANT TO THE DUTCHESS COUNTY CODE OF ETHICS

FEBRUARY 6, 2025

Roll Call Sheets

District	Last Name	YES	NO
District 7 - Hyde Park and Pleasant Valley	Truitt	✓	
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Houston		
District 3 - LaGrange	Polasek		
District 16 - Fishkill and Beacon	Valdés Smith		
District 9 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Gorman		
District 2 - LaGrange, Pleasant Valley & Town of Poughkeepsie	Travelpiece		
District 4 - Hyde Park and Town of Poughkeepsie	Lawler		
District 5 - Town of Poughkeepsie	D'Aquanni		
District 6 - Town of Poughkeepsie	Kaul		
District 8 - City and Town of Poughkeepsie	Brendli		
District 10 - City of Poughkeepsie	Johnson		
District 11 - Clinton, Pleasant Valley, and Rhinebeck	Kearney		
District 12 - East Fishkill	Metzger		
District 13 - East Fishkill and Wappinger	Paoloni		
District 14 - Wappinger and Town of Poughkeepsie	Versaci		
District 15 - Wappinger	Faust		
District 17 - Town and Village of Fishkill	McHoul		
District 18 - City of Beacon and Fishkill	Page		
District 19 - North East, Stanford, Pine Plains, Milan & Red Hook	Drago		
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn		
District 21 - East Fishkill	Caswell		
District 22 - Beekman and Union Vale	Garito	absent	
District 23 - Pawling, Beekman and East Fishkill	Rolison		
District 24 - Dover and Pawling	House		

Present: 24
 Absent: 1
 Vacant: 0

Resolution: ✓
 Motion: ✓

Total : 24 0
 Yes No
 Abstentions: 0

DH - LK
 Motion to postpone to 4/14/25
 2025022
 2/10/2025

Roll Call Sheets

District	Last Name	YES	NO
District 7 - Hyde Park and Pleasant Valley	Truitt	✓	
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Houston		
District 3 - LaGrange	Polasck		
District 16 - Fishkill and Beacon	Valdés Smith		
District 9 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Gorman		
District 2 - LaGrange, Pleasant Valley & Town of Poughkeepsie	Travelpiece		
District 4 - Hyde Park and Town of Poughkeepsie	Lawler		
District 5 - Town of Poughkeepsie	D'Aquanni		
District 6 - Town of Poughkeepsie	Kaul		
District 8 - City and Town of Poughkeepsie	Brendli		
District 10 - City of Poughkeepsie	Johnson		
District 11 - Clinton, Pleasant Valley, and Rhinebeck	Kearney		
District 12 - East Fishkill	Metzger		
District 13 - East Fishkill and Wappinger	Paoloni		
District 14 - Wappinger and Town of Poughkeepsie	Versaci	Absent	
District 15 - Wappinger	Faust		
District 17 - Town and Village of Fishkill	McHoul	Absent	
District 18 - City of Beacon and Fishkill	Page	Absent	
District 19 - North East, Stanford, Pine Plains, Milan & Red Hook	Drago		
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn		
District 21 - East Fishkill	Caswell		
District 22 - Beekman and Union Vale	Garito		
District 23 - Pawling, Beekman and East Fishkill	Rolison		
District 24 - Dover and Pawling	House	Absent	

Present: 21
 Absent: 4
 Vacant: 0

Resolution: ✓
 Motion: ✓

Total: 21 0
 Yes No
 Abstentions: 0

2025022
 4/14/2025

Amendment
 KM-DH
 add RRA + WWA

Roll Call Sheets

District	Last Name	YES	NO
District 7 - Hyde Park and Pleasant Valley	Truitt	✓	
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Houston		
District 3 - LaGrange	Polasek		
District 16 - Fishkill and Beacon	Valdés Smith		
District 9 - City of Poughkeepsie	Atkins		
District 1 - Town of Poughkeepsie	Gorman		
District 2 - LaGrange, Pleasant Valley & Town of Poughkeepsie	Travelpiece		
District 4 - Hyde Park and Town of Poughkeepsie	Lawler		
District 5 - Town of Poughkeepsie	D'Aquanni		
District 6 - Town of Poughkeepsie	Kaul		
District 8 - City and Town of Poughkeepsie	Brendli		
District 10 - City of Poughkeepsie	Johnson		
District 11 - Clinton, Pleasant Valley, and Rhinebeck	Kearney		
District 12 - East Fishkill	Metzger		
District 13 - East Fishkill and Wappinger	Paoloni		
District 14 - Wappinger and Town of Poughkeepsie	Versaci	Absent	
District 15 - Wappinger	Faust		
District 17 - Town and Village of Fishkill	McHoul	Absent	
District 18 - City of Beacon and Fishkill	Page	Absent	
District 19 - North East, Stanford, Pine Plains, Milan & Red Hook	Drago		
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn		
District 21 - East Fishkill	Caswell		
District 22 - Beekman and Union Vale	Garito		
District 23 - Pawling, Beekman and East Fishkill	Rolison		
District 24 - Dover and Pawling	House	Absent	
Present: <u>21</u>	Resolution: <u>✓</u>	Total : <u>21</u>	<u>0</u>
Absent: <u>4</u>	Motion: <u>—</u>	Yes	No
Vacant: <u>0</u>		Abstentions: <u>0</u>	

2025022 PERSONS REQUIRED TO FILE AN ANNUAL STATEMENT OF FINANCIAL DISCLOSURE PURSUANT TO THE DUTCHESS COUNTY CODE OF ETHICS

APRIL 14, 2025