

GOVERNMENT SERVICES & ADMINISTRATION

RESOLUTION NO. 2026042

RE: PERSONS REQUIRED TO FILE AN ANNUAL STATEMENT OF
FINANCIAL DISCLOSURE PURSUANT TO THE DUTCHESS
COUNTY CODE OF ETHICS

Legislators VALDÉS SMITH, MUNN, BRENDLI, CASWELL, KAUL, LAWLER,
RHODES and SHAH offer the following and move its adoption:

WHEREAS, by Resolution No. 2019056, the Dutchess County Legislature enacted Local Law No. 2 of 2019, which repealed the former Code of Ethics found in Local Law No. 5 of 2004, Local Law No. 2 of 2001, Local Law No. 7 of 2000, and established a new Code of Ethics and required a financial disclosure for certain officers and employees of the County of Dutchess, and

WHEREAS, pursuant to the aforementioned Local Law, the new Code of Ethics became effective on January 1, 2020, and

WHEREAS, Local Law No. 2 of 2019 requires the County to pass a resolution with a list of persons required to file an Annual Statement of Financial Disclosure, now therefore be it

RESOLVED, prior Resolution Nos. 2020039, 2020184, 2022026, 2023029, 2024040, and 2025022 which provided lists of persons required to file an annual statement of financial disclosure are hereby repealed and replaced, and the following persons are hereby required to file an Annual Statement of Financial Disclosure, in accordance with all requirements set forth in the County's Code of Ethics:

AGING, OFFICE FOR
Director, Office for the Aging
Assistant Director, Office for the Aging
BOARD OF ELECTIONS
Elections Commissioners
Deputy Elections Commissioners
Elections Administrators
BOARD OF ETHICS
Board Members
BUDGET OFFICE
Budget Director
Senior Budget Analyst
Budget Analyst

CENTRAL AND INFORMATION SERVICES
Commissioner of Central and Information Services
Deputy Commissioners of Central and Information Services
Director of Central Services
Purchasing Agent
Assistant Purchasing Agent
Network Administrators
Senior Network Administrator
Cyber Security Administrator
Infrastructure Manager
Infrastructure Administrator
COMMUNITY AND FAMILY SERVICES
Commissioner of Community and Family Services
Deputy Commissioners of Community and Family Services
Director of Administrative Services
Director of Fiscal Services
Directors of Services
Asst. to the Commissioner for Program Planning & Evaluation
Special Assistant to Commissioner
Assistant Commissioner for Youth Services
Staff Development Director
Homeless Shelter Director
COMPTROLLER
Comptroller
Deputy Comptroller
Director of Audit and Control
COUNTY ATTORNEY
County Attorney
Chief Assistant County Attorney
Bureau Chief, CFS
COUNTY CLERK
County Clerk
Deputy County Clerks
Historian
COUNTY EXECUTIVE
County Executive

Deputy County Executive
Assistant County Executive
Communications Director
Assistant County Executive Economic Development
Assistant Communications Director
Director of Community Engagement
Director of Legislative Affairs
COUNTY LEGISLATURE
County Legislators
Clerk of the County Legislature
Deputy Clerk of the County Legislature
Assistant to the Chairman of the County Legislature
Legislative Attorney
DISTRICT ATTORNEY
District Attorney
Chief Assistant District Attorney
Bureau Chiefs
ADAV
ADAVI
Chief Investigator
DUTCHESS COMMUNITY COLLEGE
President, Dutchess Community College
Vice President of Academic Affairs
Dean of Student Services and Enrollment Management
Dean of Community Services and Special Programs
Vice President and Dean of Administration
Board of Trustees
EMERGENCY RESPONSE, DEPARTMENT OF
Commissioner Emergency Response
Deputy Commissioner Emergency Response
Director of 911 Operations
Director of Emergency Management
FINANCE
Commissioner of Finance
First Deputy Commissioner of Finance
Director of Fiscal Services

Deputy Commissioner of Finance/Director, Real Property Tax Services
Senior Research Analyst
Bureau Chief
HEALTH, DEPARTMENT OF
Commissioner of Health
Assistant Commissioner for Health Administration
Director of Fiscal Services
Director of Public Health Nursing
Director of Environmental Health Services
Chief Medical Examiner Forensic Pathology
Deputy Medical Examiner
Director of Weights and Measures B
Director of Public Health and Disease Prevention
Director of Performance Management and Data Analytics
HUMAN RESOURCES
Commissioner of Human Resources
Deputy Commissioner of Human Resources
Equal Employment Opportunity & Inclusion Officer
Director of Risk Management
Assistant Director of Risk Management
INDUSTRIAL DEVELOPMENT AGENCY
Executive Director/Chief Executive Officer
Members of the Industrial Development Agency
LOCAL DEVELOPMENT CORPORATION
Chief Executive Officer
Members of the Local Development Corporation
MENTAL HEALTH, DEPARTMENT OF
Commissioner of Mental Health
Deputy Commissioner of Mental Health
Executive Director-Human Rights Commission
Clinical Program Directors
Supervising Psychiatric Medical Director
All Abilities Program Director
Director of Budget and Finance

PLANNING DEPARTMENT
Commissioner of Planning
Deputy Commissioner of Planning and Development
Deputy Commissioner of Solid Waste Management
Deputy Commissioner for Housing
Transportation Program Administrator
Environmental Program Director
Community Investment Program Specialist
PROBATION DEPARTMENT
Director of Probation and Community Corrections
Deputy Director of Probation and Community Corrections
Director of Budget and Finance
PUBLIC DEFENDER
Public Defender
Chief Assistant Public Defenders
Bureau Chiefs
PUBLIC WORKS DEPARTMENT
Commissioner of Public Works
Deputy Commissioner of Public Works
Director of Highway Construction and Maintenance
Assistant Director of Highway Construction and Maintenance
Airport Director
Parks Director
Buildings Administrator
Buildings Design Administrator
Director of Fiscal Services
Fleet Administrator
Director of Engineering
Director of Public Transit
Assistant Director of Public Transit
Assistant Parks Director
RESOURCE RECOVERY AGENCY
Executive Director
Officers
SHERIFF
Sheriff

Undersheriff
Chief Deputy
Deputy Sheriff Colonel
Director of Budget and Finance
Superintendent of Corrections
Deputy Superintendent of Corrections
Deputy Sheriff - Captain
WATER AND WASTEWATER AUTHORITY
Executive Director
Board Members
VETERANS AFFAIRS, OFFICE OF
Director of Veterans Affairs
Deputy Director of Veterans Affairs

CA-017-26
CRC/rjw
G-0175-A
01/30/2026
Fiscal Impact: See Attached.

STATE OF NEW YORK
COUNTY OF DUTCHESS

ss:

This is to certify that I, the undersigned Clerk of the Legislature of the County of Dutchess, have compared the foregoing resolution with the original resolution now on file in the office of said clerk, and which was adopted by said Legislature on the 9th day of Mar 2026 and that the same is a true and correct transcript of said original resolution and of the whole thereof.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of said Legislature this 14th day of Mar 2026.



[Signature]
JULIE SHIROISHI, CLERK OF THE LEGISLATURE

APPROVED
[Signature]
SUSAN J. SERINO
COUNTY EXECUTIVE

Date March 12, 2026

Roll Call Sheets

District	Last Name	YES	NO
District 16 - Fishkill and Beacon	Valdés Smith	✓	
District 9 - City of Poughkeepsie	Atkins	✓	
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn	✓	
District 3 - LaGrange	Polasek		absent
District 12 - East Fishkill	Metzger	✓	
District 1 - Town of Poughkeepsie	Redl	✓	
District 2 - LaGrange, Pleasant Valley & Town of Poughkeepsie	Arnoff	✓	
District 4 - Hyde Park and Town of Poughkeepsie	Lawler	✓	
District 5 - Town of Poughkeepsie	Shah	✓	
District 6 - Town of Poughkeepsie	Kaul	✓	
District 7 - Hyde Park and Pleasant Valley	Darrow	✓	
District 8 - City and Town of Poughkeepsie	Brendli	✓	
District 10 - City of Poughkeepsie	Johnson		absent
District 11 - Clinton, Pleasant Valley, and Rhinebeck	Kearney	✓	
District 13 - East Fishkill and Wappinger	Giles	✓	
District 14 - Wappinger and Town of Poughkeepsie	Winsby	✓	
District 15 - Wappinger	Faust	✓	
District 17 - Town and Village of Fishkill	McHoul	✓	
District 18 - City of Beacon and Fishkill	Rhodes	✓	
District 19 - North East, Stanford, Pine Plains, Milan & Red Hook	Drago	✓	
District 21 - East Fishkill	Caswell	✓	
District 22 - Beekman and Union Vale	Tresca	✓	
District 23 - Pawling, Beekman and East Fishkill	Rolison		absent
District 24 - Dover and Pawling	House		absent
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Alexander		absent
Present: <u>20</u>	Resolution: _____	Total : <u>20</u>	<u>0</u>
Absent: <u>5</u>	Motion: <u>Atkins / Munn</u>	Yes	No
Vacant: <u>0</u>		Abstentions: _____	

CONSENT AGENDA

- 2026025 - Bond Resolution Dated March 9, 2026
- 2026031 - Amending the 2026 Adopted County Budget (Opioid Settlement Fund)
- 2026032 - Amending the 2026 Adopted County Budget (OSF - Assisted Outpatient Treatment)
- 2026036 - Authorizing Amendment of Funding Under Trade Adjustment Act
- 2026040 - Acquire Real Property Owned by Obsidian
- 2026041 - Maintenance Agreement with Town of Amenia and Harlem Valley Rail Trail
- 2026042 - Persons Required to File and Annual Statement of Financial Disclosure

SUE SERINO
COUNTY EXECUTIVE



RACHEL M. KASHIMER
COMMISSIONER

DUTCHESS COUNTY GOVERNMENT
HUMAN RESOURCES

M E M O R A N D U M

DATE: January 27, 2026
TO: A. Gregg Pulver, Deputy County Executive
FROM: Rachel Kashimer, Commissioner of Human Resources
RE: Financial Disclosure Annual Update

In accordance with the Dutchess County Code of Ethics (updated pursuant to Resolution No. 2019056), the County must annually review and adopt the list of titles required to complete and file an Annual Statement of Financial Disclosure.

The Code of Ethics, Chapter 40-8 details the obligation to file financial disclosure statement and the maintenance of said disclosure statements. The Commissioner of Human Resources is required to review changes to affected positions and provide an updated list for review and adoption. According to the charter, 40-8 A(1), "Such list may include but is not limited to the heads of County-created agencies, departments, divisions, councils, boards, commissions or bureaus and their deputies and assistants, and the officers and employees of such agencies, departments, divisions, boards, bureaus, commissions or councils created by the County who hold policymaking positions."

Please see the attached list of titles which are recommended to be required to file the Annual Statement of Financial Disclosure for the period 2025 through 2026.

FISCAL IMPACT STATEMENT

☒ NO FISCAL IMPACT PROJECTED

APPROPRIATION RESOLUTIONS

(To be completed by requesting department)

Total Current Year Cost \$ _____

Total Current Year Revenue \$ _____
and Source

Source of County Funds *(check one)*: ☐ Existing Appropriations, ☐ Contingency,
☐ Transfer of Existing Appropriations, ☐ Additional Appropriations, ☐ Other *(explain)*.

Identify Line Items(s):

Related Expenses: Amount \$ _____

Nature/Reason:

Anticipated Savings to County: _____

Net County Cost (this year): _____
Over Five Years: _____

Additional Comments/Explanation:

Prepared by: Rachel Kashimer, Commissioner of Human Resources

Prepared On: 1/27/26

Version showing changes

AGING, OFFICE FOR
Director, Office for the Aging
Assistant Director, Office for the Aging
BOARD OF ELECTIONS
Elections Commissioners
Deputy Elections Commissioners
Elections Administrators
BOARD OF ETHICS
Board Members
BUDGET OFFICE
Budget Director
Senior Budget Analyst
Budget Analyst
CENTRAL AND INFORMATION SERVICES
Commissioner of Central and Information Services
Deputy Commissioners of Central and Information Services
Director of Central Services
Purchasing Agent
Assistant Purchasing Agent
Network Administrators
Senior Network Administrator
Cyber Security Administrator
Infrastructure Manager
Infrastructure Administrator
COMMUNITY AND FAMILY SERVICES
Commissioner of Community and Family Services
Deputy Commissioners of Community and Family Services
Director of Administrative Services
Director of Fiscal Services
Directors of Services
Asst, to the Commissioner for Program Planning & Evaluation
Special Assistant to Commissioner
Assistant Commissioner for Youth Services
Staff Development Director
Homeless Shelter Director
COMPTROLLER
Comptroller
Deputy Comptroller
Director of Audit and Control
COUNTY ATTORNEY
County Attorney

Chief Assistant County Attorney	
Bureau Chief, CFS	
COUNTY CLERK	
County Clerk	
Deputy County Clerks	
Historian	
COUNTY EXECUTIVE	
County Executive	
Deputy County Executive	
Assistant County Executive	
Communications Director	
Assistant County Executive Economic Development	
Assistant Communications Director	
Chief of Staff	Vacant Position - Not Budgeted
Director of Community Engagement	New Title
Director of Legislative Affairs	New Title
COUNTY LEGISLATURE	
County Legislators	
Clerk of the County Legislature	
Deputy Clerk of the County Legislature	
Assistant to the Chairman of the County Legislature	
Legislative Attorney	
DISTRICT ATTORNEY	
District Attorney	
Chief Assistant District Attorney	
Bureau Chiefs	
ADA V	New Title
ADA VI	New Title
Chief Investigator	Added
DUTCHESS COMMUNITY COLLEGE	
President, Dutchess Community College	
Vice President of Academic Affairs	
Dean of Student Services and Enrollment Management	
Dean of Community Services and Special Programs	
Vice President and Dean of Administration	
Board of Trustees	
EMERGENCY RESPONSE, DEPARTMENT OF	
Commissioner Emergency Response	
Deputy Commissioner Emergency Response	
Director of 911 Operations	
Director of Emergency Management	
FINANCE	

Commissioner of Finance

First Deputy Commissioner of Finance
Director of Fiscal Services
Deputy Commissioner of Finance/Director, Real Property Tax Services
Senior Research Analyst
Bureau Chief
HEALTH, DEPARTMENT OF
Commissioner of Health
Assistant Commissioner for Health Administration
Director of Fiscal Services
Director of Public Health Nursing
Director of Environmental Health Services
Chief Medical Examiner Forensic Pathology
Deputy Medical Examiner
Director of Weights and Measures B
Director of Public Health and Disease Prevention
Director of Performance Management and Data Analytics
HUMAN RESOURCES
Commissioner of Human Resources
Deputy Commissioner of Human Resources
Equal Employment Opportunity & Inclusion Officer
Director of Risk Management
Assistant Director of Risk Management
INDUSTRIAL DEVELOPMENT AGENCY
Executive Director/Chief Executive Officer
Members of the Industrial Development Agency
LOCAL DEVELOPMENT CORPORATION
Chief Executive Officer
Members of the Local Development Corporation
MENTAL HEALTH, DEPARTMENT OF
Commissioner of Mental Health
Deputy Commissioner of Mental Health
Executive Director-Human Rights Commission
Clinical Program Directors
Supervising Psychiatric Medical Director
All Abilities Program Director
Director of Budget and Finance
PLANNING DEPARTMENT
Commissioner of Planning
Deputy Commissioner of Planning and Development
Deputy Commissioner of Solid Waste Management
Deputy Commissioner for Housing
Transportation Program Administrator
Environmental Program Director

New Title

Community Investment Program Specialist	
PROBATION DEPARTMENT	
Director of Probation and Community Corrections	
Deputy Directors of Probation and Community Corrections	Remove Plural
Director of Budget and Finance	
PUBLIC DEFENDER	
Public Defender	
Chief Assistant Public Defenders	Add Plural
Bureau Chiefs	
PUBLIC WORKS DEPARTMENT	
Commissioner of Public Works	
Deputy Commissioner of Public Works	
Director of Highway Construction and Maintenance	
Assistant Director of Highway Construction and Maintenance	
Garage Superintendent	Vacant Position - Not Budgeted
Airport Director	
Parks Director	
Buildings Administrator	
Buildings Design Administrator	
Director of Fiscal Services	
Fleet Administrator	
Director of Engineering	
Director of Public Transit	
Assistant Director of Public Transit	
Assistant Parks Director	
RESOURCE RECOVERY AGENCY	
Executive Director	
Officers	
SHERIFF	
Sheriff	
Undersheriff	
Chief Deputy	
Deputy Sheriff Colonel	
Director of Budget and Finance	
Superintendent of Corrections	
Deputy Superintendent of Corrections	
Deputy Sheriff - Captain	
WATER AND WASTEWATER AUTHORITY	
Executive Director	
Board Members	
VETERANS AFFAIRS, OFFICE OF	
Director of Veterans Affairs	

Deputy Director of Veterans Affairs

Government Services and Administration Roll Call

<i>District</i>	<i>Name</i>	<i>Yes</i>	<i>No</i>
District 16 - Fishkill and Beacon	Valdés Smith*	X	
District 9 - City of Poughkeepsie	Atkins*	X	
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn*	X	
District 3 - LaGrange	Polasek*	X	
District 12 - East Fishkill	Metzger*	X	
District 1 - Town of Poughkeepsie	Redl		ABSENT
District 4 - Hyde Park and Town of Poughkeepsie	Lawler	X	
District 5 - Town of Poughkeepsie	Shah (VC)	X	
District 11 - Clinton, Pleasant Valley, and Rhinebeck	Kearney (C)	X	
District 13 - East Fishkill and Wappinger	Giles	X	
District 19 - North East, Stanford, Pine Plains, Milan & Red Hook	Drago	X	
District 22 - Beekman and Union Vale	Tresca	X	

Present: <u>11</u>	Resolution: <u> </u>	Total : <u>11</u>
Absent: <u>1</u>	Motion: <u> </u>	Yes No
Vacant: <u> </u>		Abstentions: <u> </u>

2026042 PERSONS REQUIRED TO FILE AN ANNUAL STATEMENT OF FINANCIAL DISCLOSURE PURSUANT TO THE DUTCHESS COUNTY CODE OF ETHICS

Roll Call Sheets

District	Last Name	YES	NO
District 16 - Fishkill and Beacon	Valdés Smith	X	
District 9 - City of Poughkeepsie	Atkins	X	
District 20 - Red Hook, Tivoli, and Rhinebeck	Munn	X	
District 3 - LaGrange	Polasek		ABSENT
District 12 - East Fishkill	Metzger	X	
District 1 - Town of Poughkeepsie	Redl	X	
District 2 - LaGrange, Pleasant Valley & Town of Poughkeepsie	Arnoff	X	
District 4 - Hyde Park and Town of Poughkeepsie	Lawler	X	
District 5 - Town of Poughkeepsie	Shah	X	
District 6 - Town of Poughkeepsie	Kaul	X	
District 7 - Hyde Park and Pleasant Valley	Darrow	X	
District 8 - City and Town of Poughkeepsie	Brendli	X	
District 10 - City of Poughkeepsie	Johnson		ABSENT
District 11 - Clinton, Pleasant Valley, and Rhinebeck	Kearney	X	
District 13 - East Fishkill and Wappinger	Giles	X	
District 14 - Wappinger and Town of Poughkeepsie	Winsby	X	
District 15 - Wappinger	Faust	X	
District 17 - Town and Village of Fishkill	McHoul	X	
District 18 - City of Beacon and Fishkill	Rhodes	X	
District 19 - North East, Stanford, Pine Plains, Milan & Red Hook	Drago	X	
District 21 - East Fishkill	Caswell	X	
District 22 - Beekman and Union Vale	Tresca	X	
District 23 - Pawling, Beekman and East Fishkill	Rolison		ABSENT
District 24 - Dover and Pawling	House		ABSENT
District 25 - Amenia, Washington, Pleasant Valley & Millbrook	Alexander		ABSENT

Present:	<u>20</u>	Resolution:	<u> </u>	Total :	<u>20</u>	<u> </u>
Absent:	<u>5</u>	Motion:	<u> </u>		Yes	No
Vacant:	<u> </u>			Abstentions:	<u> </u>	

CONSENT AGENDA: AMEND SOMETHING PREVIOUSLY ADOPTED (JANUARY 20, 2026 BOARD MEETING MINUTES); RESOLUTION 2026025, 031, 032, 036, 040, 041, 042